

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
04536/019001

In Re Application Of: **Takayuki ONO**

Serial No.

Filing Date

Examiner

Group Art Unit

Title: **APPARATUS AND METHOD FOR CONTROLLING FOCUS OF LASER BEAM IN MULTILAYER  
OPTICAL DISK**

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

**22511**

PATENT TRADEMARK OFFICE

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
04536/019001

In Re Application: **Takayuki ONO**

Serial No.

Filing Date

Examiner

Group Art Unit

**APPARATUS AND METHOD FOR CONTROLLING FOCUS OF LASER BEAM IN MULTILAYER  
OPTICAL DISK**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **50-0591**  
as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit  
account is being facsimile transmitted to the United States  
Patent and Trademark Office (F:

\_\_\_\_\_  
(Date)

\_\_\_\_\_  
*Signature*

\_\_\_\_\_  
*Typed or Printed Name of Person Signing Certificate*

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited  
on \_\_\_\_\_ with the U.S. Postal Service  
as first class mail under 37 C.F.R. 1.8 and is  
addressed to the Commissioner for Patents, P.O. Box  
1450, Alexandria, VA 22313-1450.

\_\_\_\_\_  
*Signature of Person Mailing Correspondence*

\_\_\_\_\_  
*Typed or Printed Name of Person Mailing Certificate*

\*This certificate may only be used if paying by  
deposit account.

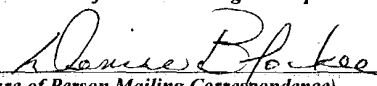
Dated: **7/23/03**

  
*Signature*

**Jonathan P. Osha, Reg. No. 33,986**  
**ROSENTHAL & OSHA L.L.P.**  
**1221 McKinney, Suite 2800**  
**Houston, Texas 77010**

**Telephone: 713-228-8600**  
**Facsimile: 713-228-8778**

CC:

<b>CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)</b> Applicant(s): Takayuki ONO			Docket No. 04536/019001
Serial No.	Filing Date	Examiner	Group Art Unit
Invention: <b>APPARATUS AND METHOD FOR CONTROLLING FOCUS OF LASER BEAM IN MULTILAYER OPTICAL DISK</b>			
I hereby certify that the following correspondence: <div style="border: 1px solid black; padding: 10px; margin: 10px 0;"><b>Information Disclosure Statement and PTO Form 1449</b></div> <p style="text-align: center;"><i>(Identify type of correspondence)</i></p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on</p> <p style="text-align: center;"><u>7/23/03</u> <i>(Date)</i></p> <div style="text-align: center; margin-top: 20px;"><b>Denise Blocker</b> <i>(Typed or Printed Name of Person Mailing Correspondence)</i>  <i>(Signature of Person Mailing Correspondence)</i> <b>EV324926698US</b> <i>("Express Mail" Mailing Label Number)</i></div>			
<b>Note: Each paper must have its own certificate of mailing.</b>			



